

June 2021

**Hwa-Jin Kim**

B.S. Math (SNU), Dr. Jur. (Munich), LL.M. (Harvard)

- Professor of Law, Seoul National University School of Law
  - Member, Seoul National University Asset Management Committee
  - L. Bates Lea Global Professor of Law, Michigan Law School
  - Chair, ESG Committee, Hyundai Heavy Industries Holdings
  - Chair, Nominating Committee, Hyundai Heavy Industries Holdings
  - Outside Director, Macquarie Korea Infrastructure Fund Corporation
  - Chair, Korea Corporate Governance Service Proxy Advisory Committee
  - Founding Chair, Seoul Corporate Governance Forum
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- Senator, Seoul National University Faculty Senate, 2018 - 2020
  - Chair, Audit Committee, Hyundai Heavy Industries Holdings, 2018 – 2020
  - Global Professor of Law, New York University Law in Shanghai, 2018
  - Public Director, Korea Financial Investment Association, 2011 - 2017
  - Visiting Professor, Tel Aviv University The Buchmann Faculty of Law, 2009
  - Editor-in-Chief, Journal of Korean Law, 2007 – 2009
  - Lecturer in Law, Stanford Law School, 2002
  - M&A, Corporate Governance and Finance Law Practice, 1994 - 2006
  - Three-Time Winner of *the Korean National Academy of Sciences Book Award*

## **Academic Positions**

- **Seoul National University School of Law**
  - Professor of Law, 2011 – Present
  - Associate Professor of Law, 2006 – 2011
  - Vice Dean for International Affairs, 2007 – 2008
  - Editor-in-Chief, **Journal of Korean Law**, 2007 – 2009
  - Faculty Director, Executive Education Program on M&A, 2006 – 2008
  - Faculty Director, Executive Education Program on Corporate Governance, 2007
- **Seoul National University**
  - Member, Asset Management Committee, 2019 - Present
  - Senator, Faculty Senate, 2018 – 2020
  - Member, Committee for Appeals on Appointment and Tenure, 2020 - Present
  - Member, Startup School Preparatory Committee, 2019 - 2021
  - Member, University Press Planning Committee, 2016 – 2021
  - Faculty Fellow, Institute for Research in Finance and Economics, 2009 – Present
  - Member, Technology Holdings Preparatory Committee, 2007 - 2008
- **University of Michigan Law School**
  - L. Bates Lea Global Professor of Law, 2011 – Present
- **New York University Law in Shanghai**
  - Global Professor of Law, 2018
- **New York University Stern School of Business**
  - Senior Professional Fellow, Pollack Center for Law & Business, 2018 - 2019
- **IDC Herzliya Harry Radzyner Law School**
  - International Visiting Professor, 2011
- **Tel Aviv University The Buchmann Faculty of Law**
  - Visiting Professor, 2009
- **Stanford Law School**
  - Lecturer in Law, 2002
  - Co-organizer, Stanford Cross-Listing Conference, 2002
- **Korea University Business School**
  - Adjunct Professor by University President's Special Appointment, 2005 – 2006
- **Seoul National University Business School**
  - Adjunct Professor, 2002 – 2014, 2018 – 2021
- **Korean Supreme Court Judicial Research and Training Institute**
  - Lecturer, 1997 – 1999

## **Courses**

- **International Corporate Governance** (in English and Korean)
- **Investment Banking** (in English and Korean)
- **Corporate Law** (in Korean)
- **Commercial Code: General Principles** (in Korean)
- **Commercial Paper** (in Korean)
- **Law and Business** (in Korean)
- **Mergers and Acquisitions** (in Korean)

## **Outside Directorships**

- **Hyundai Heavy Industries Holdings** (The world's largest shipbuilder)
  - Outside Director, 2018 – Present
  - Chair, ESG Committee, 2021 – Present
  - Chair, Nominating Committee, 2020 – Present
  - Chair, Audit Committee, 2018 – 2020
  - Chair, Related Party Transaction Committee, 2018 – 2020
- **Macquarie Korea Infrastructure Fund Corporation** (The largest mutual fund in Korea)
  - Outside Director, 2019 – Present
- **Hyundai Robotics Company** (The world's 5th largest industrial robot manufacturer)
  - Outside Director, 2017 – 2018
  - Chair, Audit Committee, 2017 – 2018
  - Chair, Related Party Transaction Committee, 2017 – 2018
- **Korea Financial Investment Association** (SRO est. by the Korean Capital Markets Act)
  - Public Director, 2011 – 2017
  - Member of the Nominating Committee, 2015
  - Member of the Compensation Committee, 2011 – 2017
  - Chair, Committee for the ERI Studies, 2011
  - Member of the Capital Market Development Roundtable (comprised of CEOs of investment banks), 2014 – 2016

## **Other Professional Positions and Activities**

- **Seoul Corporate Governance Forum**
  - Founding Chair, 2016 – Present

<http://www.scgf.or.kr>

- **The NEXT Corporate Governance Conference**
  - Founding Chair and Organizer, 2011 – Present
- **University of Michigan LSA Nam Center for Korean Studies**
  - Core Faculty, 2011 – Present
- **Korea Corporate Governance Service, Inc.**
  - Chair, Proxy Advisory Committee, 2016 – Present
  - Member, Corporate Governance Committee, 2016 – Present
  - Fellow, 2005 -2009
- **Economic Policy Forum of the Korean National Assembly**
  - Member of the Advisory Board, 2016 – 2017
- **Samsung Securities**
  - Member of the Advisory Board, 2012 - 2016
- **Korea Securities Depository**
  - Chair, Derivative Services Committee, 2010 – 2015
- **Federation of Korean Industries**
  - Chair, Corporate Law Roundtable, 2015 – 2016
- **Korea Institute of Directors**
  - Member of the Steering Committee, 2006 – 2009
  - Director, BOD Staff Education Program, 2006 - 2009
- **KT (Korea Telecom) Corporation**
  - Chair, Corporate Governance Study Group, 2008
- **Korea Association for Chief Financial Officers, Inc.**
  - Member of the Board of Directors, 2003 – 2011
  - Member of the Steering Committee, 2005 - 2012
  - Member of the Founding Committee, 2001
- **Korean Securities Law Association, Inc.**
  - Member of the Board of Directors, 1998 – 2008
  - Executive Managing Director & Secretary, 1998 – 2001
- **Korean Law and Economics Association**
  - Auditor, 2007 – 2008
- **Member of the New York State Bar**, since 1994 (inactive)
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**Korean Government Advisory (Committee Memberships)**

- Korea National Pension Fund Alternative Investment Committee, 2016 – 2017
- Korea National Pension Fund Proxy Advisory Committee (representing Korean National Agricultural Cooperative Federation), 2007 – 2011

- Korea Financial Supervisory Service, 2007, 2009 - 2011
- Korea Fair Trade Commission, 2005 - 2007
- Korean Ministry of Justice, 2007 - 2008
- Office of the President of Korea, 2005
- National Economic Advisory Council to the President of Korea, 2005 - 2006

#### **Korean Industries Advisory (Committee Memberships)**

- Federation of Korean Industries, 2003 – 2006, 2015 – 2016
- Korea Chamber of Commerce and Industry, 1999 - 2001
- Korea Federation of Small and Medium Business, 1999 - 2000
- KOSDAQ Listed Companies Association, 2000 - 2008
- Korea Listed Companies Association, 2002 - 2003

#### **Op-Ed Columnist**

- **Money Today**, 2007 - Present
- **thebell**, 2018 - Present
- **News 1**, 2018 – 2021
- **Korea Economic Daily**, 2003 - 2004, 2013 - 2014
- **Seoul Daily Newspaper**, 2005
- Frequent Contributor to **Maeil Business Newspaper**, **Korea JoongAng Daily**, **Munhwa Ilbo**, **Kyungbuk Domin Ilbo**, **Kyungsang Today**, **Korea Customs Newspaper**, **Asan Foundation Journal**, **Seoul National University Alumni Association Magazine**, and **Seoul National University Daehak Shinmoon**

#### **Education**

- **Harvard Law School**
  - LL.M., 1994
  - Thesis Supervisor and Advisor: Prof. Reinier Kraakman, Prof. Lucian Bebchuk (Thesis: Markets, Financial Institutions, and Corporate Governance: Perspectives from Germany)
- **Northwestern University School of Law**
  - LL.M. & Admitted to the S.J.D. Program, 1993
  - Dean's List; James Nelson Raymond Fellow
- **Ludwig-Maximilians-University Munich**
  - Dr. Jur. *magna cum laude*, 1988; Konrad Adenauer Scholar

- Dissertation Committee: Prof. Bruno Simma (Chair), Prof. Rudolf Geiger; Doctoral Exam Committee: Prof. Wolfgang Fikentscher (Chair), Prof. Bruno Simma, Prof. Lothar Philipps (Dissertation: Die Abgrenzung der Wirtschaftszone und die Frage einer dualistischen Abgrenzung: Perspektiven in Ostasien [The Delimitation of the Exclusive Economic Zones in East Asia])
- **Seoul National University**
  - B.S. Mathematics, 1983

#### **Testimonies and Talks before the Korean National Assembly**

- Chair of the International Conference: Toward Principles Based Regulation of the Capital Markets, November 3, 2016 (noted in **Maeil Business Newspaper**)
- Comments on the Draft Amendment to the Capital Markets Act on M&A Brokerage, September 7, 2016 (noted in **Chosun Biz, Money Today, Maeil Business Newspaper, Yonhap News, Korea Economic Daily TV, edaily**)
- Chair of the Roundtable Discussion: Financial Reform, November 5, 2015 (attended by Speaker of the National Assembly, Majority Leader, Chairman of the National Policy Committee, and other members of the National Assembly; noted in **Money Today, edaily, Yonhap News**)
- Comments on the Draft Amendments to the Capital Markets Act, March 13, 2012 (noted in **Seoul Economic Daily, edaily, Yonhap News**)
- Commercial Banking and Investment Banking, November 1, 2010 (noted in **Korea Economic Daily, Money Today, Maeil Business Newspaper**)
- Comments on the Draft Capital Markets Act, April 12, 2007 (noted in **edaily, Money Today, Korea Economic Daily, Financial News**)
- Socio-Economic Implications of Takeovers and Defenses, May 3, 2006
- Comments on the Draft Amendments to the Securities and Exchange Act, November 8, 2001

#### **Editorial Boards**

- **Journal of Financial Regulation** (Oxford University Press, 2012 - Present)
- **Journal of Korean Law** (Seoul National University School of Law, 2006 – 2016; Editor-in-Chief, 2007 - 2009)
- **Korean Bar Association Journal** (2009 - 2013)
- **Korea Association for Chief Financial Officers Quarterly Journal** (2005 - 2012)
- **Korean Journal of Securities Law** (Korean Securities Law Association, 1999 - 2008)

#### **The NEXT Corporate Governance Conferences (Organizer and Chair)** 2011 – Present

- 2020 - **The Future of the Korean Chaebol Corporate Governance**, Virtual Conference out of The Plaza Seoul, Korea, September 25, 2020 (Local speakers only due to COVID-19): Keynote Speech delivered “The Present and Future of the Corporate Governance of Large Korean Companies”
- 2019 – **Current Issues of Corporate Governance: Active Ownership, Corruption, Related-Party Transactions**, Millennium Seoul Hilton, Korea, September 20, 2019 (Speakers from NYU, Michigan, Berkeley, Munich, Newcastle, London Business, Hong Kong, IESEG Paris, and CUHK)
- 2018 – **Global and Regional Trends in Corporate Governance**, Millennium Seoul Hilton, Korea, September 20, 2018 (Speakers from UBC, NUS, Durham, Michigan, AUM, Peking, and CUHK)
- 2017 – **A New Look at the Role of Institutional Investors in Corporate Governance**, Millennium Seoul Hilton, Korea, September 22, 2017 (Speakers from Toronto, NYU, Michigan, Tilburg, Hamburg, UCLA, and UHK)
- 2016 – **International Investment and Dispute Resolution**, Millennium Seoul Hilton, Korea, September 23, 2016 (Speakers from Michigan, Max Planck Institute Luxembourg, UHK, Hong Kong International Arbitration Center, and SNU)
- 2015 - **The Global State of Regulation of Business and Finance**, Millennium Seoul Hilton, Korea, September 18, 2015 (Speakers from Michigan, Northwestern, Tilburg, NUS, CUHK, Korea University, and SNU)
- 2014 - **The New Trend in Corporate Finance**, Millennium Seoul Hilton, Korea, October 26, 2014 (Speakers from Michigan, NYU, Oxford, York, Goldman Sachs, SNU, and Korea Financial Services Commission)
- 2013 - **The Global State of Corporate Governance**, Millennium Seoul Hilton, Korea, October 25, 2013 (Speakers from Michigan, NUS, Peking, Korea University, SNU, Standard Chartered, and KIF)
- 2012 - **The Good Capital: Toward a New Definition of Value in the Capital Markets**, The Westin Chosun Seoul, Korea, September 21, 2012 (Speakers from IDC Herzliya, Tokyo, Tilburg, and UNPRI)
- 2011 - **A New Era of Business and Finance in East Asia**, Lotte Hotel Seoul, Korea, October 14, 2011 (Speakers from Michigan, Oxford, NUS, Tokyo, Peking, ING Group, Bank of Korea, and KAIST)

#### **International Academic and Professional Conferences (Organizer)**

- The Role of Culture and Tradition in Family Law Reforms – **A Journal of Korean Law**

Conference with **Emory University School of Law**, Atlanta, January 30, 2009

- Law in the Information and Knowledge Society – Joint Academic Conference of Seoul National University College of Law and **University of Freiburg Faculty of Law**, Freiburg i.B., Germany, July 16 – 18, 2008
- Current Legal Problems in Taiwan and Korea: A Comparative View - Joint Academic Conference of Seoul National University College of Law and **National Taiwan University College of Law**, Seoul, Korea, October 11, 2007
- Legal Approach to Regional Cooperation in East Asia: 1st Annual Beseto Conference, Seoul, Korea, September 14, 2007, Seoul National University College of Law, **University of Tokyo Faculty of Law**, and **Peking Law School**
- International Conference on the Conflicts of Interest in Investment Banking Institutions, **Korea Financial Investment Association**, Seoul, Korea, November 2, 2006 (Speakers from Michigan and LSE)
- **Stanford Law School** International Conference on Cross-Listing of Emerging Market Companies on Foreign Exchanges, November 22 – 23, 2002 (w/ Bernard S. Black) (Speakers from Stanford, Columbia, SNU, Ohio State, Berkeley, NYSE, Vanderbilt, and Indiana)
- **Korea Stock Exchange** International Conference on Self-Regulatory Institutions in the Korean Securities Markets, Korea Stock Exchange, December 7 - 8, 2001 (Speakers from Stanford, Michigan and Cambridge)
- The Korea-Japan International Conference on the New Financial Instruments, September 21, 2001, Co-sponsored by the **Korea Securities Dealers Association and the Korea Listed Companies Association**

#### **International Academic and Professional Conferences (Moderator)**

- **Toward Principles Based Regulation of the Capital Markets**, Korean National Assembly, November 3, 2016 (Organized by Economic Policy Forum of the Korean National Assembly and Korea Financial Investment Association)
- **Asia Securities Forum 2015: Overseas Expansion of Asian Securities Companies**, The Westin Chosun Seoul, Korea, September 22, 2015 (Organized by Korea Financial Investment Association)
- **The Korean Stock Market at a Crossroads**, The Westin Chosun Seoul, Korea, September 25, 2014 (Organized by Korea Capital Market Institute)
- **Seoul Securities Financing Conference: Korean Securities Lending & Repo**, Conrad Hotel, Seoul, Korea, April 10, 2013 (Organized by Korea Securities Depository)

#### **Talks and Speeches (International)**



- Panelist at **Journal of Financial Regulation** 2016 Annual Conference: **Integration and Interconnectedness in Global Finance**, June 24-25, 2016, Hong Kong, jointly organized by University of Oxford, University of Hong Kong and National University of Singapore
- Financial Regulation and Supervision in Corporate Governance of Banks, Talk at **MT – The Bell Global Conference The Next: The Global State of Regulation of Business and Finance**, Millennium Seoul Hilton, Korea, September 18, 2015
- Private Enforcement of Company Law and Securities Regulation in Korea, Talk at the **University of Michigan Law School and Chinese University of Hong Kong Faculty of Law Conference** on Private Enforcement of Company Law and Securities Regulation: China and the World, December 13 & 14, 2014, Hong Kong
- Favoritism and Corporate Law: The Corporate Opportunity Doctrine, Talk at **MT – The Bell Global Conference The Next: The Global State of Corporate Governance**, Millennium Seoul Hilton, Korea, October 25, 2013
- Shareholder and Hedge Fund Activism, Patient Capital, and 'Good Capital,' Keynote Speech at **MT – The Bell Global Conference The Next: The Good Capital: Toward a New Definition of Value in the Capital Markets**, The Westin Chosun Seoul, Korea, September 21, 2012
- How to Improve Corporate Governance and Transparency, Talk at the Conference Globalization of the Korean Capital Markets, **Stanford University Walter H. Shorenstein Asia-Pacific Research Center**, April 20, 2012
- Corporate Governance Reform in Korea 1997 – 2009: An Epic Journey, Talk co-organized and co-sponsored by **Michigan University Center for Korean Studies** and **Center for International and Comparative Law** and **Asia Law Society of Michigan Law School**, February 18, 2010
- The Case for Market for Corporate Control in Korea, Talk at Michigan Law School Asia Law Society's 2009 Symposium: Convergence or Cataclysm – Corporate Governance and Market Development in Asia, **University of Michigan Law School**, March 21, 2009
- SNU Law Goes Global, talk before students and professors of **Kazakh University of the Humanities and Law**, April 24, 2008
- The Abusive Investor, Discussion at the **10<sup>th</sup> Financial Crisis Anniversary High-Level Experts Roundtable** organized by Korean National Strategy Institute and JoongAng Ilbo, November 21, 2007
- Ethics and Accountability for Institutional Investors, Discussion at the 2007 International Symposium on Corporate Governance Reform in Korea organized by **Korea Exchange** and **Korea Corporate Governance Service**, October 10, 2007
- The Emerging Market for Corporate Control in Korea, Presentation at 1st Annual **Peking Law School - University of Tokyo School of Law - Seoul National University College of Law**

**Conference**, September 14, 2007

- SNU Law Goes Global, Lunch talk before faculty members of **Tel Aviv University Faculty of Law**, August 15, 2007
- Liabilities of Outside Directors, Talk at the International Conference on the Role and Responsibility of Outside Directors in Building an Excellent Company, sponsored by the **Korea Listed Companies Association**, December 14, 2006
- Corporate Governance of Korean Firms, Lecture given to the government officials of the **People's Democratic Republic of Algeria**, KDI School of Public Policy and Management, November 22, 2006
- Unified Capital Market Law and Conflict-of-Interests within Investment Banks, Speech at international conference sponsored by **Korea Securities Dealers Association**, November 2, 2006
- Corporate Governance and the Capital Markets, Lecture given to the government officials of the **People's Democratic Republic of Algeria**, KDI School of Public Policy and Management, November 20, 2006
- A Tale of Two Companies: The Emerging Market for Corporate Control in Korea, East Asia Corporate Governance Seminar, **University of Tokyo School of Law**, September 30, 2006
- Corporate Governance and Enforcement, Discussion at Workshop 7, presented by **the KDI School of Public Policy and Management and the World Bank**, of the 6<sup>th</sup> Global Forum on Reinventing Government sponsored by the United Nations and the government of the Republic of Korea, May 24 – 27, 2005
- Corporate Governance and the Role of Corporate Law, Panelist at International Conference co-hosted by **Asian Corporate Governance Institute and Global Corporate Governance Forum, World Bank**, May 19-20, 2005
- The Business Judgment Rule and Directors' Liabilities, Panelist Comment at the 1<sup>st</sup> **Duke University Business School – Seoul National University Business School Symposium: Corporate Governance Reform**, Seoul, November 13, 2003
- Cross-Listing of Korean Companies on Foreign Exchanges: Law and Policy, Presentation at the **Stanford Law School** International Conference on Cross-listing of Emerging Market Companies on Foreign Exchanges, November 23, 2002
- Corporate Governance, Stakeholder Capitalism, Social Responsibility, and the Culture: A Korean Perspective, Discussion at the first **IICGA Conference at George Washington University**, June 1-2, 2001

#### **Invited Lectures**

- Seoul National University in Creative California Program

- Seoul National University International Summer Institute
- Bank of Korea
- Inha University
- Korea Aerospace University
- Seoul National University Department of Mathematical Sciences
- Ewha Womans University College of Business Administration
- Yonsei University School of Business
- Yonsei University Department of Economics
- Hankuk University of Foreign Studies
- Sogang University Department of Business Administration
- SK Group University

### **Talks and Speeches**

- Asan Medical Center (Leadership and Department Chiefs)
- Korean Financial Services Firms Roundtable (CEOs)
- Conference of Korean Bank Presidents and CEOs of Financial Firms with Members of Korean Parliament
- Hyundai Motor Company (BOD)
- Samsung C&T Corporation (Governance Committee)
- SK Group (CEOs)
- Samsung Securities (Executives)
- Macquarie Korea Infrastructure Fund Corporation (Executives)
- Woori Financial Group (BOD)
- Korea Corporate Governance Service
- Korea Engineers Club
- Asan Foundation
- Seoul National University Graduate School of Public Administration
- Korea Institute of Finance
- Korea Development Bank (Executives and Managers)
- Korea Financial Investment Association
- Seoul National University Department of Economics
- Korea Fixed Income Research Institute
- Korea Institute of Public Finance
- Korean Finance Association
- Civil Law Practice Study Association of the Korean Supreme Court
- Korean Legal Center

- Korean Law and Economics Association
- Korean Association of Comparative Private Law
- Korea Development Institute
- Korea Securities Research Institute
- Korean Environmental Law Association
- Seoul National University Institute of Management Research
- Korean Securities Law Association
- Korean Association of Bankruptcy Law
- Presidential Committee on Judicial Reform
- Korean Academic Society of Business Administration
- Ahnmin Institution
- Korean Civil Law Case Study Association
- Korea Institute for Industrial Economics and Trade
- Korea International Trade Law Association
- Financial Research Center of Korea
- Knowledge Society Research Association
- Daishin Economic Research Institute
- The Rotary Club of Seoul-Hoehyun
- The Asan Nanum Foundation
- Maekyung Media Group One Asia Forum

### **Seoul National University Executive Education**

#### **Business School**

- Advanced Program for Managers of State-Owned Enterprises
- Executive MBA Program
- Global Investment Banking Academy
- CFO Academy
- Advanced Banking Program
- Korea Development Bank MBA Program
- KT Corporation
- STX Group MBA Program

#### **School of Law**

- Advanced Leadership Program

- Advanced Corporate and Financial Laws Program
- Human Rights Program

#### **College of Engineering**

- Advanced Industrial Policy Program

#### **College of Human Ecology**

- Advanced Fashion Business Program

#### **Graduate School of Public Administration**

- Advanced Center for Administrative Development
- Knowledge Center for Public Administration and Policy

#### **Yonsei University Business School Executive Education**

- Samsung Securities
- KB Financial Group
- Korea Electric Power Corporation

#### **Korean In-House Executive Education (2006 – Present)**

- Mirae Asset Financial Group
- SK Holdings
- Hynix Semiconductor
- Korean Institute of Directors
- Korean Association of Compliance Officers
- Chartered Financial Analyst Exam Prep Program
- Shinhan Bank
- STX Group
- SK Telecom
- Korea Listed Companies Association
- SK Group
- LG Group
- CJ Group

- Korea Chamber of Commerce and Industry
- Federation of Korean Industries
- Kumho Asiana Group
- Hanwha Group
- PriceWaterhouseCoopers Samil Accounting Corporation
- Hyundai Capital / Hyundai Card
- Lotte Group
- Hana Financial Group
- Korean Bar Association
- Seoul Bar Association

#### **Publications** (in Korean, English, German, and Russian)

##### ***In English***

- Private Enforcement of Company Law and Securities Regulation in Korea, in: **Enforcement of Corporate and Securities Law: China and the World** 444 – 453 (Robin Huang & Nicholas Howson eds., Cambridge University Press, 2017)
- Financial Regulation and Supervision in Corporate Governance of Banks, 41 **Journal of Corporation Law** 707 – 726 (2016)
- Concentrated Ownership and Corporate Control: Wallenberg Sphere and Samsung Group, 14 **Journal of Korean Law** 39 – 59 (2014)
- Favoritism and Corporate Law: The Confused Corporate Opportunity Doctrine in the Hyundai Motor Case, 3 **Michigan Journal of Private Equity & Venture Capital Law** 41 – 74 (2013) (w/ Seung-Hwan Lee and Stephen Woodcock)
- **Korean Business Law** (Edward Elgar, 2012) 291 Pages: Edited Volume with contributions by Bernard Black, Brian Cheffins, Michael Klausner, Adam Pritchard et al. (Book Review in 4248 **The Barrister** [2012]) \* *Recognized by the Seoul National University Law Research Institute as the representative publication of 2012 supported by the Institute.*
- How to Improve Corporate Governance and Transparency, in: **Globalization of the Korean Capital Markets** (Stanford University Walter H. Shorenstein Asia-Pacific Research Center, 2012)
- Private Equity in Korea: History, Industry and Policy, in: **Korean Business Law** 199 – 217 (Edward Elgar, 2012) (w/ Alice Z. Chen)
- A Global Structural Regulation of Financial Institutions?, 52-4 **Seoul Law Journal** 169 - 213 (2011)
- Importing Hazardous Substances from the United States?: The Poison Pill in Japan and Korea,

- 10 **Journal of Korean Law** 1 – 41 (2010) (w/ Haruka Okihara and Stephen Woodcock)
- **The Law and Practice of Corporate Acquisitions in Korea: Journal of Korean Law** Vol. 8 No. 2 (2009) 259 Pages: Edited Volume
  - International Corporate Governance: A Select Bibliography, 8 **Journal of Korean Law** 201 – 226 (2008)
  - The Case for Market for Corporate Control in Korea, 2009 **Oxford University Comparative Law Forum** 2; published simultaneously in 8 **Journal of Korean Law** 227 - 276 (2009); an updated and abbreviated version in: **Korean Business Law** 237 – 258 (Edward Elgar, 2012)
  - Legal Liability of Directors and Company Officials Part 2: Court Procedures, Indemnification and Insurance, and Administrative and Criminal Liability, 2008 **Columbia Business Law Review** 1 – 171 (w/ Bernard Black, Brian Cheffins, Martin Gelter, Richard Nolan, Mathias Siems, and Linia Prava Law Firm)
  - Comparative Analysis on Legal Regulation of the Liability of Members of the Board of Directors and Executive Organs of Companies (Report to Russian Center for Capital Market Development, 2006) 320 Pages (w/ Bernard Black, Brian Cheffins, Martin Gelter, Richard Nolan, Mathias Siems, and Linia Prava Law Firm): European Corporate Governance Institute Law Working Paper No. 103/2008 (February 2008) <http://ssrn.com/abstract=1001990>
  - A Tale of Three Companies: The Emerging Market for Corporate Control in Korea, in: **Transforming Corporate Governance in East Asia** 71 – 90 (Hideki Kanda et al. eds., Routledge, 2008)
  - Legal Liability of Directors and Company Officials Part 1: Substantive Grounds for Liability, 2007 **Columbia Business Law Review** 614 – 799 (w/ Bernard Black, Brian Cheffins, Martin Gelter, Richard Nolan, Mathias Siems, and Linia Prava Law Firm)
  - Directors' Duties and Liabilities in Corporate Control and Restructuring Transactions: Recent Developments in Korea, 2006 **Oxford University Comparative Law Forum** 2; an updated and abbreviated version in: **Korean Business Law** 1 – 26 (Edward Elgar, 2012) (w/ Sung-Joon Park)
  - Cross-Listing of Korean Companies on Foreign Exchanges: Law and Policy, 3 **Journal of Korean Law** 1 – 16 (2003)
  - Toward the “Best Practice” Model in a Globalizing Market: Recent Developments in Korean Corporate Governance, 2 **Journal of Corporate Law Studies** 345-366 (2002)
  - Searching for a Model for Effective Self-Regulation in Korea, in: **Self-Regulation in the Korean Securities Market** 7-15 (Hwa-Jin Kim ed., Korean Securities Law Association, 2002)
  - Taking International Soft Law Seriously: Its Implications for Global Convergence in Corporate Governance, 1 **Journal of Korean Law** 1 – 50 (2001) \* *Inaugural article of the Journal.*
  - New Special Purpose Companies in Korean Financial Markets, in **Recent Transformations in Korean Society and Law** 171 – 196 (Dae-Kyu Yoon ed., Seoul National University Press,

2000)

- Living with the IMF: A New Approach to Corporate Governance and Regulation of Financial Institutions in Korea, 17 **Berkeley Journal of International Law** 61 – 94 (1999); reprinted in Roman Tomasic & Leon Wolff eds., **Commercial Law in East Asia** 153 - 186 (Routledge, 2014)
- Markets, Financial Institutions, and Corporate Governance: Perspectives from Germany, 26 **Georgetown Journal of International Law** 371 – 405 (1995)

#### *In German*

- Unterschiedliche Abgrenzung der 200 Seemeilen-Wirtschaftszone und des Festlandsockels? (Single Maritime Boundary Regime for the Exclusive Economic Zone and the Continental Shelf?), 40 **Zeitschrift für öffentliches Recht** (Austrian Journal of Public and International Law) 257 – 292 (1989)
- **Die Abgrenzung der Wirtschaftszone und die Frage einer dualistischen Abgrenzung: Perspektiven in Ostasien** (The Delimitation of the Exclusive Economic Zones in East Asia) (Munich: Verlag V. Florentz, 1989) 187 Pages \* *Ludwig-Maximilians-University Munich Dr. Jur. Thesis, 1988.*

#### *In Russian*

- **Правовое Регулирование Ответственности Членов Органов Управления: Анализ Мировой Практики** (Legal Regulation of the Liability of Members of Management Organs: An Analysis of International Practice) (Moscow: Alpinabook, 2010) 332 Pages (w/ Bernard Black, Anastasiya Farukshina, Brian Cheffins, Martin Gelter, Richard Nolan, Mathias Siems, and Linia Prava Law Firm) <https://www.alpinabook.ru/catalog/Law/6565-comments/>
- Отчет о результатах сравнительного анализа правового регулирования ответственности членов органов управления хозяйственных обществ (Comparative Analysis on Legal Regulation of the Liability of Members of the Board of Directors and Executive Organs of Companies) (Report to Russian Center for Capital Market Development, 2006) 320 Pages (w/ Bernard Black, Brian Cheffins, Martin Gelter, Richard Nolan, Mathias Siems, and Linia Prava Law Firm): European Corporate Governance Institute Law Working Paper No. 103/2008 (February 2008) <http://ssrn.com/abstract=1001991>

#### *In Korean*

#### Books and Edited Volumes



- **The ESG-Oriented Board of Directors** (Seoul: thebell, 2021) 517 Pages
- **Asan Chung Ju-yung Legacy** (Seoul National University Press, 2021) 308 Pages  
 4<sup>th</sup> print April 10, 2021  
 3<sup>rd</sup> print March 22, 2021  
 2<sup>nd</sup> print Feb. 25, 2021  
 1st print Feb. 10, 2021  
 Seoul National University Alumni Association Magazine  
<https://www.snua.or.kr/magazine?md=v&seqidx=9903>  
 Maeil Business Newspaper  
<https://www.mk.co.kr/news/culture/view/2021/03/274819/>  
 Dong-A Ilbo  
<https://www.donga.com/news/article/all/20210318/105944837/2>  
 Money Today  
<https://news.mt.co.kr/mtview.php?no=2021021309471981257>  
 thebell  
[http://www.thebell.co.kr/free/content/ArticleView.asp?key=202102051558258040103423&svccode=00&page=1&sort=thebell\\_check\\_time](http://www.thebell.co.kr/free/content/ArticleView.asp?key=202102051558258040103423&svccode=00&page=1&sort=thebell_check_time)  
 Newsis  
[https://newsis.com/view/?id=NISX20210215\\_0001339240&cID=13001&pID=13000](https://newsis.com/view/?id=NISX20210215_0001339240&cID=13001&pID=13000)  
 Asia Economy  
<https://view.asiae.co.kr/article/2021031911350053657>  
 Ulsan Maeil  
<http://www.iusm.co.kr/news/articleView.html?idxno=901295>  
 News1  
<https://www.news1.kr/articles/?4214964>  
 Korea Economic Daily  
<https://www.hankyung.com/economy/article/2021031895751>  
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